

Recommended standard tariff

Supplementary charges

WALKERCRIPS

Tariff STANSUP001

Trading

Stamp Duty

UK - 0.50% on purchase consideration for applicable securities
Stamp Duty Reserve Tax (SDRT) where applicable is charged at the same rate
Irish Stamp Duty - 1% on purchase consideration for applicable securities

Panel on Takeovers & Mergers (PTM) levy

Levy set by Recognised Investment Exchange on all equities & fixed interest stocks over £10,000 consideration £1 per transaction

Compliance Charge

Advisory, advisory managed and discretionary service £20 per transaction
Execution only service £10 per transaction

Overseas securities

Additional overseas charges apply to non-Crest settlement transactions £50 per transaction

Certificate charge

Crest certificated transaction charge (purchase & sale) £50

Late delivery of stock and/or transfer form for certificated sales

Where valid documents are not received by the firm by settlement date £30
Further charge if documents are not received by settlement date +2 days £30

Custody services

Nominee Holdings, including ISA/JISA Holdings service

Fee per holding calculated daily, charged quarterly in arrears £0.03 per stock per day
(£15 minimum per quarter)
Note: custody charges will not apply on accounts where annual management/administration fees are charged

Processing of non-mandatory corporate events (e.g.; takeovers/rights issues/open offers) £10

Transfer administration service

Transfers into the custody of Walker Crips at our expense
Transfer out UK securities £15 per holding
Transfer out non-UK securities £50 per holding
ISA/JISA Cash transfer to another provider £50
Internal transfer between accounts (e.g. gift) £5 per transaction
Request for stock withdrawal £50 per holding
Charge for Registrar certificate holding check £10 per holding
Cross border deliveries £50 per transaction
Dematerialisation of non-UK securities - including DRS/CDS statements £50 per holding
Corporate nominee transfer agent charges - variable charges levied by company registrars available on request
Re-registration of certificated holdings outside of the nominee service £50 per holding

Safekeeping of Certificates (Safe Custody Service)

Fee based on value of certificates held by the firm under this service, per quarter in arrears 0.2% (£50 min per quarter)

This document is effective as at 6 October 2023

Walker Crips Investment Management

Old Change House, 128 Queen Victoria Street, London EC4V 4BJ | 020 3100 8000 | client.services@wcgplc.co.uk | walkercrips.co.uk
Walker Crips Investment Management Limited is authorised and regulated by the Financial Conduct Authority and is a member of the London Stock Exchange. Registered in England and Wales number 4774117.

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Cash management charges

UK telegraphic transfer (CHAPS)	£15
Overseas telegraphic transfer (CHAPS)	£25
BACS - up to three day payment	free of charge
BACS recall charge	£10
Foreign exchange dealing (FX) - conversion of cash balances	0.1% charge (£25 minimum)
Late payment charges - interest at a rate of 5% per annum over clearing bank base rate charged where settlement is received after due date	£15 minimum

Interest on deposit - Interest rates are available on our website or on request from your Account Executive

The firm may apply zero interest or pass on negative interest rates for those currencies that are in a negative interest environment. Any difference between the interest received by the firm and the interest paid to customers is retained by the firm

Paper charge

Where customers require paper documents (client packs, trade confirmations, letters and others) for themselves or their accountants, financial advisers, and others. £12.50 per quarter

Please note: All correspondence issued relating to your account is accessible free of charge through our Client Portal. If you no longer require documents by post, please let us know.

Probate valuations (subject to VAT)

Probate Fee up to £150,000	0.20%
Excess over £150,000	0.10%
Plus admin fee per holding	£5 per holding (£50 minimum)

Enhanced Tax Pack (subject to VAT)

For non-managed/non-management fee paying customers £50
(it is inclusive for managed and management fee paying customers)

Registration of legal documents

UK securities per holding £50

Please note: we do not offer this service for international securities

Based on our current understanding of VAT legislation, VAT will be chargeable on certain fees as indicated above

This supplementary tariff sheet is accurate at the time of publication and may be subject to review and change. The most up-to-date version of this tariff sheet is located on our website at walkercrips.co.uk/BusinessTC, or you could request it from your Investment Manager, Account Executive or from Customer Support.

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