

# Service Comparison Table

	Discretionary Bespoke	Discretionary Model Portfolio	Advisory Managed	Advisory Dealing	Execution Only	Walker Crips Share Dealing
Minimum portfolio value	£50,000	No minimum	£50,000	£50,000	No minimum	No minimum
<b>Upfront information</b>						
Initial Suitability Report	✓	✓	✓	✓	✗	✗
Costs & Charges	Illustrative	Illustrative	Illustrative	Illustrative	As per tariff sheet	As per tariff sheet
<b>Ongoing</b>						
Ongoing service/custody or inactivity fee	✓	✓	✓	✓	✓	✓
Named Investment Manager	✓	✓	✓	✓	✓	✗
Access to your Investment Manager by phone and email	✓	✓	✓	✓	✓	✗
Access to our share dealing desk via phone and email	✗	✗	✗	✗	✗	✓
Access to customer support team for help with ad-hoc queries	✓	✓	✓	✓	✓	✓
Capital Gains Tax Management	✓	✗	✓	✗	✗	✗
Management of investment restrictions / cherished holdings	✓	✗	✓	✗	✗	✗
Individual Savings Account (ISA) available	✓	✓	✓	✓	✓	✓
Management of your portfolio – investment decisions made for you	✓	✓	✗	✗	✗	✗
Management of your portfolio – personal recommendations made to you	✗	✗	✓	✗	✗	✗
Personal recommendations made to you on a stock-by-stock basis	✗	✗	✗	✓	✗	✗
Execution-only transactions	✗	✗	✗	✓	✓	✓
Nominee service including dividend collection and consolidated tax certificate	✓	✓	✓	✓	✓	✓
Certificated dealing available (paper share certificates)	✗	✗	✓	✓	✓	✓
Access to online Client Portal (via web and mobile app)	✓	✓	✓	✓	✓	✓
Regular income payments	✓	✓	✓	✓	✓	✓
Investment performance benchmarking	✓	✓	✓	✗	✗	✗
Quarterly portfolio valuation pack	✓	✓	✓	✓	✓	✓
Quarterly portfolio factsheets	✗	✓	✗	✗	✗	✗
Monthly portfolio updates	✗	✓	✗	✗	✗	✗
Annual consolidated tax certificate	✓	✓	✓	✓	✓	✓
Annual Capital Gains Tax report	✓	✓	✓	✓	✗	✗
Trade confirmations	✓	✗	✓	✓	✓	✓
Responsibility for suitability of individual investments and portfolio composition	✓	✓	✓	✓	✗	✗
Post-trade memorandum of advice	✗	✗	✓	✓	✗	✗
Annual assessment to affirm ongoing suitability of service and investments	✓	✓	✓	✓	✗	✗

Walker Crips Investment Management Limited is authorised and regulated by the Financial Conduct Authority (FRN: 226344)

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